



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/826,319	04/03/2001	Michael F. Lahn	2879-80	4155
22442	7590	06/22/2004	EXAMINER	
SHERIDAN ROSS PC 1560 BROADWAY SUITE 1200 DENVER, CO 80202			SCHWADRON, RONALD B	
			ART UNIT	PAPER NUMBER
			1644	

DATE MAILED: 06/22/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Application No.	Applicant(s)	
	09/826,319	LAHN ET AL.	
	Examiner	Art Unit	
	Ron Schwadron, Ph.D.	1644	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on ____.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-35 is/are pending in the application.
 4a) Of the above claim(s) 3-8 is/are withdrawn from consideration.
- 5) ☐ Claim(s) ____ is/are allowed.
- 6) ☒ Claim(s) 1,2,9-35 is/are rejected.
- 7) ☐ Claim(s) ____ is/are objected to.
- 8) ☐ Claim(s) ____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on ____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
 Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. ____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. ____. |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date ____. | 6) <input type="checkbox"/> Other: ____. |

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submissions filed on 10/14/2003 and 5/18/2004 have been entered.

2. The rejection of claims 1,9-11,18,19,24,25,27,28,31-35 under 35 U.S.C. 102(b) as anticipated by Lobb et al. as evidenced by Arrhenius et al. and Sato et al. as enunciated in the previous Office Action is withdrawn in view of the amended claims.

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

4. Claims 1,2,9-35 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lobb et al. (US Patent 5,871,734) as evidenced by Arrhenius et al. (US Patent 5,869,448) in view of Schramm et al., Wigzell et al. (US Patent 5,958,410) and Krause et al. (US Patent Application Publication 2002/0037286).

Lobb et al. teach use of antibody against VLA-4 to treat asthma (see abstract). It is a property of VLA-4 that it is a receptor on T cells (see Arrhenius et al., column 63, last paragraph). AHR occurs in asthma (see column 12, Example 2). Lobb et al. teach aerosol administration of antiVLA-4 antibody (see column 12, Example 2). Lobb et al. teach use of humanized antiVLA-4 antibody (see column 5, penultimate paragraph). Said antibody does not stimulate T cell activation (said antibodies inhibit VLA-4 function, see column 7, penultimate paragraph). Lobb et al. teach use of monovalent antibody (see column 7, third paragraph). Lobb et al. teach use of antibody dosages encompassed by those recited in claims 18 and 19 (see column 6, penultimate paragraph). Lobb et al. teach administration of said antibody in PBS via nebulized spray (see column 6, penultimate paragraph). Lobb et al. teach the method of claim 27 (see claim 17). Lobb et al. teach the method of claims 28,31,32 (see column 12, Example 2). Lobb et al. teach that the effect seen can be achieved without detectable blood levels of antibody (see column 12, last paragraph) wherein the antibody would not therefore substantially effect peripheral immune function (eg. because it was not present in the blood). Lobb et al. teach use of said method in humans (see claim 16). Lobb et al. teach that their method resulted in a 70% decrease in inhibition of late phase response which would correlate with the improved FEV1 as per claim 34. Lobb et al. do not teach use of antiTCR $\alpha\beta$ antibodies. Schramm et al. teach use of IV antiTCR $\alpha\beta$ antibodies to treat asthma (see abstract). Krause et al. teach that antibodies which inhibit T cell activation are preferably administered via pulmonary aerosol (see section [0118] and abstract). Wigzell et al. teach that pathologic T cells found in the lungs can be treated via intrapulmonary (AKA pulmonary

Art Unit: 1644

aerosol) administration of antiTCR antibody(see column 13, second paragraph and column 12, penultimate paragraph). It would have been prima facie obvious to one of ordinary skill in the art at the time the invention was made to have created the claimed invention because Lobb et al. teach aerosol administration of an antibody which binds T cells to treat asthma and Schramm et al. teach that a different antibody which binds T cells (antiTCR $\alpha\beta$) can be used to treat asthma. One of ordinary skill in the art would have been motivated to do the aforementioned because Lobb et al. teach that the anti T cell antibody can be administered in a variety of art known routes including aerosol. One of ordinary skill in the art would have also been motivated to do the aforementioned because Krause et al. teach that antibodies which inhibit T cell activation are preferably administered via pulmonary aerosol (see section [0118] and abstract) and Wigzell et al. teach that pathologic T cells found in the lungs can be treated via intrapulmonary (AKA pulmonary aerosol) administration of antiTCR antibody(see column 13, second paragraph and column 12, penultimate paragraph). A neutralizing antibody would have been used in the claimed method because Schramm et al. teach that asthma symptoms are reduced in the absence of $\alpha\beta$ T cells (see abstract). Regarding the particular dosages of formulation or dosage per weight, a routineer would initially test a wide variety of different dosages in order to have determined the smallest effective dose of the antibody used. A routineer would have administered said antibody in conjunction with art known treatments for asthma such as those disclosed in column 2, first paragraph of Lobb et al. The antibody would have been administered either before or during asthma symptoms.

Regarding applicants comments, it would have been prima facie obvious to one of ordinary skill in the art at the time the invention was made to have created the claimed invention because Lobb et al. teach aerosol administration of an antibody which binds T cells to treat asthma and Schramm et al. teach that a different antibody which binds T cells (antiTCR $\alpha\beta$) can be used to treat asthma. In addition, Krause et al. teach

Art Unit: 1644

that antibodies which inhibit T cell activation are preferably administered via pulmonary aerosol (see section [0118] and abstract) and Wigzell et al. teach that pathologic T cells found in the lungs can be treated via intrapulmonary (AKA pulmonary aerosol) administration of antiTCR antibodies (see column 13, second paragraph and column 12, penultimate paragraph). Regarding applicants comments about motivation, Krause et al. teach that antibodies which inhibit T cell activation are preferably administered via pulmonary aerosol (see section [0118] and abstract) and Wigzell et al. teach that pathologic T cells found in the lungs can be treated via intrapulmonary (AKA pulmonary aerosol) administration (see column 13, second paragraph and column 12, penultimate paragraph). In addition, one of ordinary skill in the art would have been motivated to do the aforementioned because Lobb et al. teach that the anti T cell antibody can be administered in a variety of art known routes including aerosol.

Regarding applicants comments about Fahy et al., the comments in page 9 of said reference indicate that the reason that their antibody was not effective was because it was an antibody that bound a soluble antigen (IgE) present in large quantities in the vascular space wherein said IgE acted as a "sink of IgE". Fahy et al. hypothesize that the antibody might have been more immunogenic via the aerosol route, but the successful results of Lobb et al. would tend to disagree with this hypothesis. The issue of noncompliant patients is not germane to the instant discussion. The hypothesis that aerosolized antibody was not delivered in sufficient quantity to the lower airways seems unlikely as a potential problem for the claimed invention because the successful results of Lobb et al. would tend to disagree with this hypothesis. Therefore, the most likely explanation for the results found by Fahy et al. is that their antibody was not effective was because it was antibody that bound a soluble antigen (IgE) present in large quantities in the vascular space wherein said IgE acted as a "sink of IgE". The antibody used in the claimed invention does not bind a soluble antigen. The antibody used in the claimed invention binds alphabeta TCR found on the surface of T cells. There is no evidence of record that soluble TCR is found in large quantities in the

vascular space wherein said TCR acted as a "sink". Therefore, the results of Fahy et al. are not germane to the claimed invention. Furthermore, Lobb et al. teach aerosol administration of an antibody which binds T cells to treat asthma. In addition, Krause et al. teach that antibodies which inhibit T cell activation are preferably administered via pulmonary aerosol (see section [0118] and abstract) and Wigzell et al. teach that pathologic T cells found in the lungs can be treated via intrapulmonary (AKA pulmonary aerosol) administration of antiTCR antibody. (see column 13, second paragraph and column 12, penultimate paragraph).

Regarding applicants comments about the cellular specificity of the antigen bound by the antiVLA-4 antibody, given that said antibody binds T cells and that the antibody used by Schramm et al. binds T cells (antiTCR $\alpha\beta$) and can be used to treat asthma, it is reasonable to conclude that the method of Lobb et al. using aerosol administration could be practiced using the antibody used by Schramm et al. that binds T cells (antiTCR $\alpha\beta$). Regarding applicants comments about advantages of the claimed invention, Krause et al. teach that antibodies which inhibit T cell activation are preferably administered via pulmonary aerosol (see section [0118] and abstract) and Wigzell et al. teach that pathologic T cells found in the lungs can be treated via intrapulmonary (AKA pulmonary aerosol) administration of antiTCR antibodies (see column 13, second paragraph and column 12, penultimate paragraph). Regarding applicants comments about gamma/delta T cells, said species is not the elected species and is not currently under examination. It is noted that while said claim was accidentally included in said rejection in the previous Office action, said claim was indicated as withdrawn in the coversheet included with said Office action. Regarding applicants comments about antibody dosages recited in the claims, most of the claims do not recite a particular amount of antibody that is used and the claims that do recite dosages recite amounts that are encompassed by the dosages taught by Lobb et al.

5. No claim is allowed.

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ron Schwadron, Ph.D. whose telephone number is 571 272-0851. The examiner can normally be reached on Monday to Thursday from 7:30am to 6:00pm. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Christina Chan, can be reached at 571 272 0841. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



RONALD B. SCHWADRON
PRIMARY EXAMINER
GROUP 1800 *lvv*

Ron Schwadron, Ph.D.
Primary Examiner
Art Unit 1644